NAPFA
THE POWER OF TRUST

FIDUCIARY OATH

FIDUCIARY OATH OF FIRSTRUST, LLC

As a NAPFA Member Firm, we publicly affirm to support the following standards, and all advisors, associates and employees hereby confirm our understanding and compliance.

Affirmation #1 All of our services, financial advice and investment recommendations are custom-tailored to meet each client's specific goals and objectives.

Affirmation #2 We believe in investing prudently to meet each client's objectives while seeking to reduce the client's overall risks and expenses.

Affirmation #3 We will strongly encourage clients to collaborate and communicate with us regularly to remain on track toward achieving their financial objectives.

Affirmation #4 We remain equipped to deliver both comprehensive and modular planning services to accommodate the wide range of both short- and long-term financial issues each client may have.

Affirmation #5 Our sole source of compensation is client-paid fees for financial advice and professional services.

Affirmation #6 When granted Discretionary trading authority, we will execute securities transactions in a client's portfolio pursuant to a written and signed Investment Policy Statement that specifies the investment parameters within which investment accounts will be managed.

Affirmation #7 We will not "scale" our practice to accommodate a larger volume of clients than we are staffed and equipped to provide quality service and personal attention.

Affirmation #8 We do not have any relationships with another conflicting organization.

Affirmation #9 We believe clients are best served by a FinancialTeam of experts, and we will collaborate with their external professionals as requested.

Affirmation #10 We will offer the names of other professionals with whom we have worked as reference for prospective clients.

Affirmation #11 Advisors at our firm have advanced educations, significant years of relevant experience, and professional certifications with a Code of Conduct and continuing professional education requirements.

Affirmation #12 Advisors at our firm have a professional history that is free of any regulatory, enforcement or disciplinary actions.

Michael T. Koenig
/s/ Michael T. Koenig
Founding Partner / CEO